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03-05-02

PTO/SB/05 (03-05)

Approved for use through 10/31/2002 OMB 0651-0039  
Patent and Trademark Office U.S. DEPARTMENT OF COMMERCE

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications  
under 37 CFR 1.53(b))

Attorney Docket No. 8866  
First Inventor BRADLEY STEVEN RESCH  
Assignee THE PROCTER & GAMBLE COMPANY  
Title STABLE PERSONAL CARE  
COMPOSITIONS CONTAINING A  
RETINOID  
Express Mail Label No. ET604021400US

## APPLICATION ELEMENTS

See MPEP Chapter 600 concerning utility patent application contents.

Box Patent Application  
ADDRESS TO: Assistant Commissioner for Patents  
Washington, D.C. 20231

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original, and a duplicate for fee processing)
2. ☒ Specification Total Pages [37]  
(preferred arrangement set forth below)
  - Descriptive Title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R&D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
3. ☐ Drawing(s) (35 USC §113) Total Sheets ☐
4. Oath or Declaration Total pages [2]
  - a. ☒ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR §1.63(d))  
(for continuation/divisional with Box 17 complete)
    - i. ☐ DELETION OF INVENTORS  
Signed statement attached deleting inventor(s)  
named in the prior application,  
see 37 CFR §§1.63(d)(2) and 1,33(b).
5. ☐ Application Data Sheet. See 37 CFR §1.76

6. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
7. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ Paper
  - c. ☐ Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

8. ☐ Assignment Papers (cover sheet & document(s))
9. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure ☐ Copies of IDS  
Statement (IDS)/PTO-1449 Citations
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
14. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
15. ☐ Nonpublication Request under 35 U.S.C.  
122(b)(2)(B)(i). Applicant must attach form  
PTO/SB/35 or its equivalent.
16. ☐ Other: .....

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR §1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. /

Prior application information: Examiner: Group/Art Unit:  
For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

☒ Customer Number

(Insert Customer No. here)

27752

Name (Print/Type)	Armina E. Matthews	Registration No. (Attorney/Agent)	43,780
Signature		Date	03/04/2002

⊕ Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Box Patent Application, U.S. Patent and Trademark Office, P. O. Box 2327, Arlington, VA 22202.

(Revised for P&G use 12/7/01)

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**FEE TRANSMITTAL  
for FY 2002**

Patent fees are subject to annual revision.

**Complete if Known**

Application Number

Confirmation Number

Filing Date

3/04/2002

First Named Inventor

BRADLEY STEVEN RESCH

Examiner Name

Group/Art Unit

**TOTAL AMOUNT OF PAYMENT (\$848.00)**

Attorney Docket No.

8866

Customer No.

27752

**METHOD OF PAYMENT (check one)**1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 16-2480

Deposit Account Name The Procter &amp; Gamble Company

☒ Charge Any Additional Fee Required Under status. 37 C.F.R. §§1.16 and 1.17**FEE CALCULATION****1. BASIC FILING FEE - Large Entity**

Code	(\$)	Fee Description	Fee Paid
101	740	Utility filing fee	[X]
106	330	Design filing fee	
108	740	Reissue filing fee	
114	160	Provisional filing fee	

**SUBTOTAL (1) (\$)[740.00]****2. EXTRA CLAIM FEES - Large Entity**

	Extra Claims	Fee from Below	Fee Paid
Total Claims	[26] - 20** = [6]	x [18] =	[108]
Independent Claims	[3] - 3** = [0]	x [84] =	
Multiple Dependent		[280] =	

\*\* or number previously paid, if greater; For Reissues, see below

Code	(\$)	Fee Description
103	18	Claims in excess of 20
102	84	Independent claims in excess of 3
104	280	Multiple dependent claim, if not paid
109	84	**Reissue independent claims over original patent
110	18	**Reissue claims in excess of 20 & over original patent

**SUBTOTAL (2) (\$)[108.00]****FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Code	(\$)	Fee Description	Fee Paid
105	130	Surcharge-late filing fee or oath	
127	50	Surcharge-late provisional filing fee or cover sheet	
139	130	Non-English specification	
147	2,520	For filing a request for <i>ex parte</i> reexamination	
112	920*	Requesting publication of SIR prior to Examiner's action	
113	1,840*	Requesting publication of SIR after Examiner's action	
115	110	Extension for reply within 1 <sup>st</sup> month	
116	400	Extension for reply within 2 <sup>nd</sup> month	
117	920	Extension for reply within 3 <sup>rd</sup> month	
118	1,440	Extension for reply within 4 <sup>th</sup> month	
128	1,960	Extension for reply within 5 <sup>th</sup> month	
119	320	Notice of Appeal	
120	320	Filing a brief in support of an appeal	
121	280	Request for oral hearing	
138	1,510	Petition to institute a public use proceeding	
140	110	Petition to revive - unavoidable	
141	1,280	Petition to revive - unintentional	
142	1,280	Utility issue fee (or reissue)	
143	460	Design issue fee	
122	130	Petitions to the Commissioner	
123	50	Petitions related to provisional applications	
126	180	Submission of Information Disclosure Statement	
146	740	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	Request for Continued Examination (RCE)	
169	900	Request for expedited examination of a design application	
091	1280	Acceptance of unintentionally delayed claim for priority under 35 U.S.C. 119, 120, 121, or 365 (a) or (c)	
		Other fee (specify)	
		Other fee (specify)	

\* Reduced by Basic Filing Fee Paid

**SUBTOTAL(3) (\$)****SUBMITTED BY**

Name (Print/Type)

Armina E. Matthews

Registration No.

43,780

(Attorney/Agent)

Complete (if applicable)

Telephone (513) 627-4210

Signature

Armina E. Matthews

Date

03/04/2002

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